

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
11640.4

In Re Application Of:

Kendall W. Prince et al.

Serial No.	Filing Date	Examiner	Group Art Unit
10/655,816	September 5, 2003	To be assigned	To be assigned

Title:

WINDOW FRAMING SYSTEM WITH DECORATIVE OVERLAY AND METHOD FOR USING THE SAME

Address to:

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

37 CFR 1.97(b)

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

37 CFR 1.97(c)

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☐ the statement specified in 37 CFR 1.97(e);

OR

☐ the fee set forth in 37 CFR 1.17(p).



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Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of _____ is attached.
- ☒ The Director is hereby authorized to charge and credit Deposit Account No. 500843 as described below.
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Berne S. Broadbent

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Signature

Dated: December 30, 2003

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<div>01P 11640.4 JAN 02 2004 PATENT & TRADEMARK OFFICE</div> <div>INFORMATION DISCLOSURE CITATION (Use several sheets if necessary)</div>				Docket Number (Optional) 11640.4		Application Number 10/655,816		
				Applicant(s) Kendall W. Prince et al.				
				Filing Date September 5, 2003		Group Art Unit To be assigned		
U.S. PATENT DOCUMENTS								
EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	
		4,160,343	07/10/1979	Hubbard	49	90	09/30/1977	
		5,419,085	05/30/1995	Imbur	49	398	03/21/1994	
		5,599,051	02/04/1997	Bourgeois	292	262	04/11/1995	
		5,857,298	01/12/1999	Fullwood	52	202	12/03/1996	
		5,865,562	02/02/1999	Mallek	403	403	10/25/1996	
		6,474,034 B1	11/05/2002	Dronigi	52	473	11/20/2000	
FOREIGN PATENT DOCUMENTS								
	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
							YES	NO
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)								
EXAMINER				DATE CONSIDERED				
EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.								